



Receipt

Docket No. YOR920000312(1963-5014)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Samuel Dinkin, et al.

Group Art Unit: 2166

Serial No.: 09/770,874

Examiner: TBA

Filed: January 26, 2001

For: METHOD AND SYSTEM FOR AGGREGATING MULTIPLE MARKETPLACES

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached:

1. REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT  
(1 sheet) (in duplicate);
2. copy of Filing Receipt (Corrected) with changes noted thereon in red;
3. copy of Preliminary Amendment; and
4. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: January 31, 2002

By:   
Mark J. Abate  
Registration No. 32,527

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Samuel Dinkin, et al.

Group Art Unit: 2166

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For: **METHOD AND SYSTEM FOR AGGREGATING MULTIPLE MARKETPLACES**  
**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Commissioner for Patents  
Washington, DC 20231

Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been highlighted. Specifically, the Title should read: Method And System For Aggregating Multiple Marketplaces as per the Preliminary Amendment to correct the title of application which was filed on July 31, 2001. Enclosed is a copy.

- PTO ERROR: As the error was made by the Patent and Trademark Office, it is believed that no fee is due. However please credit or debit Deposit Account No. 13-4500, Order No. 1963-5014 as necessary to effect entry of the attached corrections.
- APPLICANT'S ERROR: In accordance with the provisions of Rule 1.19(h), please charge the requisite fee of \$25.00 to Deposit Account No. 13-4500, Order No. 1963-5014.
- The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1963-5014. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: January 31, 2001

By:   
Mark J. Abate  
Registration No. 32,527

Correspondence Address:

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/770,874	01/26/2001	2166	1640	YOR920000312 (1963-5014)	5	60	4
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MORGAN & FINNEGAN, L.L.P.  
 345 Park Avenue  
 New York, NY 10154

CONFIRMATION NO. 6668  
 UPDATED FILING RECEIPT



\*OC000000006110397\*

Date Mailed: 05/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Samuel Dinkin, Austin, TX;  
 Stephen J. Boies, Mahopac, NY;  
 David P. Greene, Ossining, NY;  
 William Grey, Millwood, NY;  
 Philip Shi-Lung Yu, Chappaqua, NY;  
 Paul Andrew Moskowitz, Yorktown Heights, NY;

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## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/07/2001

CASE 1963-5014 ATTY MDC

INFORMATION DISCLOSURE  
 STATEMENT

FOREIGN FILING

CONVENTION DATE EXPIRES

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Supply or service need fulfillment method and system  
 Method And System For Aggregating Multiple Market places

Preliminary Class

705

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Data entry by : GIZAW, ASTER

Team : OIPE

Date: 05/23/2001





Approved  
MAR 05 2002  
Technology Center 2100

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

09/770,874

01/26/2001

Samuel Dinkin

YOR920000312 (1963-  
5014) *TL*

CONFIRMATION NO. 6668



\*OC000000007529017\*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154

Date Mailed: 02/25/2002

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

**Publication and General Rules Issues**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- An amendment or Application Data Sheet is needed to make this change.
- The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02.)
- Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming

— small entity status excused, see 37 CFR 1.28(c).

The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt. See 37 CFR 1.213(a)(1)

The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213(a)(2)

The request for non-publication has not been recognized because it does not contain the certification as required by 37 CFR 1.213(a)(3).

The request for non-publication has not been recognized because it is not signed in compliance with 37 CFR 1.33(b) as required by 37 CFR 1.213(a)(4).

There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.

Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.

The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".

Assignment information will only be included for applications that are eligible for publication.

A - G 12 Aew

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Office of Initial Patent Examination  
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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 6668

SERIAL NUMBER 09/770,874	FILING DATE 01/26/2001 RULE	CLASS 705	GROUP ART UNIT 2165	ATTORNEY DOCKET NO. YOR920000312 (1963-5014)
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APPLICANTS

Samuel Dinkin, Austin, TX;  
Stephen J. Boies, Mahopac, NY;  
David P. Greene, Ossining, NY;  
William Grey, Millwood, NY;  
Philip Shi-Lung Yu, Chappaqua, NY;  
Paul Andrew Moskowitz, Yorktown Heights, NY;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/07/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 5	TOTAL CLAIMS 60	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

ADDRESS

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York ,NY 10154

TITLE

METHOD AND SYSTEM FOR AGGREGATING MULTIPLE MARKETPLACES

FILING FEE RECEIVED 1640	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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PATENT  
Docket No. 1963-5014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Sam Dinkin, et al.  
Serial No. : 09/770,874  
Filed : January 26, 2001 Group Art Unit: 2166  
For : SUPPLY OR SERVICE NEED FULFILLMENT METHOD AND SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

I hereby certify that the attached

- 1) Preliminary Amendment
- 2) RETURN RECEIPT POSTCARD

paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: July 31, 2001

By: Maureen McCabe  
Maureen McCabe

CORRESPONDENCE ADDRESS:

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Case No. 1963-5014 Serial No. 09/770,874

Date Mailed July 31, 2001 ATTY MMcCabe

Date Due in the Patent Office \_\_\_\_\_

The return of this post card, properly stamped, will acknowledge receipt in the Patent & Trademark Office of the following:

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